

NMLS Release 2015.3.1 – Release Notes

Release Date: August 17, 2015

The purpose of these release notes is to provide a summary of system enhancements included in NMLS Release 2015.3.1.

General Enhancements						
SCR Number	Title	Description	Context			
22703	Authorized Agents – Breadcrumbs in Composite Search Results	Breadcrumbs will be added to the Authorized Agent workflow to allow a regulator user accessing composite view from an authorized agent work item to navigate between the following pages in the workflow: • Authorized Agents search results; • Location; • Location history; and • Work item.	State			
22107	Composite – Document Uploads – Display Text Message When No Documents are Present	The message "No documents have been submitted" will display in the View Document Uploads section of company and branch Composite View when no filing has been submitted or no documents have been submitted on a filing. The same message will display in the Document Uploads section of company and branch historical filings when no documents have been submitted on the filing.	State			
31092	Display – Show Entity Name of Industry User in Banner	The Company/Institution name will display in the system banner near the NMLS logo when a Company/Institution user is logged in to the system.	State and Federal			

General Enhancements						
SCR Number	Title	Description	Context			
31091	Search – Adjust Search Functionality to Return Entities Without Filing Submissions	State regulators will be able to search and access Composite View for company and institutions records that do not have a submitted filing.	State and Federal			

System Maintenance Updates						
SCR Number	Category	Description	Context			
31303	Regulator Workflow – Work List	NMLS will be updated to allow regulator users to sort by column on the Regulator Work List even if the Default Sort Order is populated.	State			
31153	Composite View – Individual Identifying Information	The labels in the Identifying Information Section of the MU2 (Form Version 5 only) and MU4R (Form Version 3) will be modified to allow for wrapping to eliminate an alignment issue.	State and Federal			

Browsers Used for Testing NMLS

Manual Testing: Firefox 32 / IE9 / IE 11/Chrome 42 and above for functional testing

Automation: Firefox 32 and IE9 /IE 11 (ad-hoc basis)

Sauce Labs is used occasionally for specific OS / Browser Combination in QC environment.